



Individual Broker Accreditation or Transfer Form

Section 1: Individual Broker details

This application form is intended for multiple accreditation applications; however, each separate accreditation type will require support from the relevant Business Development Manager associated with that business and will allow you to request a unique Broker Accreditation ID.

Please provide your existing Westpac, St.George or CFAL Broker Accreditation ID if applicable

New Accreditation Application Type by Business.

Note: This section does not need to be completed for existing Accreditation transfer requests.

Business Broker – Westpac /St.George/BOM/BankSA:

☐ Broker

Westpac Equipment Finance:

☐ Broker

Capital Finance Australia Limited (CFAL):

☐ Broker

Existing Accreditation Transfer Section **Only**.

Previous Aggregator:

New Aggregator:

With what brands are you currently accredited?

☐ Westpac/STG/BOM/BSA Business Broker ☐ Westpac Equipment Finance ☐ CFAL

Please note: Approval subject to the New Aggregator having a current aggregation agreement with the Westpac Group

Section 1: Individual Broker details (continued)

Part 1. Broker details

Given name/Middle name

Surname

Date of birth

Any other name(s) by which you are known

Gender

Personal address (No PO Boxes)

City/Suburb	State	Country

Mobile

Office number

Email

Business name (As registered with ASIC)

Trading name (If different to registered name)

Principal place of business (Where you work from)

Address		
Suburb	State	Postcode

ABN

ACN

ARBN (If applicable)

Company type

Trust name (If applicable)

Trust script (In its own right/As Trustee for)

Trust ABN

Are you operating from or delivering this service to the Westpac Group only from Australian based locations? ☐ Yes ☐ No

If NO, please list all the countries this service will be delivered from:

Have you ever been an employee of the Westpac Banking Corporation or any of its subsidiaries?

☐ Yes ☐ No If yes, please specify the year your employment ended

Are you an Australian Citizen or Australian Permanent Resident?

☐ Yes ☐ No

If you answered No, please provide a copy of your visa with this application.

Section 2: Applicant personal declaration

In relation to the last 10 years, whether as an individual or a director of a company have you ever (please tick relevant box):

		YES	NO
a.	Had an industry or business Licence, issued by a proper authority, either refused, suspended, withdrawn, cancelled or been subject to a banning order, or do you have any of these actions pending?	☐	☐
b.	Been convicted of or found to have committed an offence or do you have charges pending?	☐	☐
c.	Been subject to an investigation by ASIC or by any other regulatory or official body in relation to any aspect of its business or are you currently subject to such an investigation by any of these bodies?	☐	☐
d.	Been a director of a company that has gone into voluntary liquidation or had a Receiver, Professional Liquidator, Liquidator, Scheme Manager, or an Official Manager appointed, or do you have such action pending?	☐	☐
e.	Been declared bankrupt, or are you currently an undischarged bankrupt or do you have any such action pending?	☐	☐
f.	Been a partner of a firm which has entered into voluntary liquidation or had a Receiver, Professional Liquidator, Liquidator, Scheme Manager, or an Official Manager appointed, or do you have such action pending?	☐	☐
g.	Been refused membership of a statutory, professional, or other body in respect of your professional capacity, or do you have any such action pending?	☐	☐
h.	Been subject to disciplinary proceedings or banned, disqualified, or expelled by a statutory, professional, or other body in respect of your professional capacity, or do you have any such action pending?	☐	☐
i.	Been dismissed, or had any proper authority including any Licence withdrawn on ethical or legal grounds, or any disciplinary proceedings pending?	☐	☐
j.	Had any past, present or pending claim made against your Professional Indemnity Insurance under which you operate in relation to advice you have provided?	☐	☐
k.	Been refused Professional Indemnity Insurance?	☐	☐
l.	Had your accreditation cancelled or suspended by a Lender, Mortgage Manager, or a Mortgage Insurer, other than for volume reasons, or had membership of an Aggregator or franchise group terminated, or is similar action pending against you?	☐	☐

If you answered **YES** to any of the above questions, please provide a description of the event(s) and surrounding circumstances in the section below. Please also attach any supporting evidence/details related to the event in your application.

Section 3: Application checklist

Please complete all sections relevant to your application and attach the required evidence:

- ☐ Broker Association membership (which includes Certificate IV/Diploma as a pre-requisite)

MANDATORY

- ☐ FBAA accreditation (Finance & Mortgage Brokers Association) **OR**
☐ CAFBA associate (Commercial and Asset Finance Brokers Association) **OR**
☐ MFAA full membership (Mortgage & Finance Association of Australia)

Provide: A copy of your current membership.

MANDATORY for all Business Broker applications:

- ☐ An Australian Credit Licence (ACL) Holder ACL Number:

OR

- ☐ An Authorised Credit Representative of an ACL Holder

ACL Holder

ACL Number

CRN number

Documentation required ✓ = Mandatory = N/A	Accreditation Type		
	Business Broker (All Brands)	WBC Equipment Finance & CFAL Broker	All existing Accreditation Transfers
Broker Association membership certificate	✓	✓	
ACL or CRN details	✓		
Personal Declaration completed (Section 2)	✓	✓	✓
Privacy Consent Signed (Section 5)	✓	✓	✓
Current Photo ID (1 form) – Driver's Licence/Passport	✓	✓	✓
Police Clearance Certificate (< 180 days old)	✓	✓	
Resume detailing your qualifications and experience	✓	✓	
- New Aggregator reference check attestation (Section 5 part 3) OR - Release letter from current Aggregator (outgoing)			✓

Section 4: Broker business review

This section is for Business Broker and Equipment Finance Broker applications.

Business/Equipment Finance Brokers: Please state your business banking and/or equipment finance experience including institutions and years in role.	Business banking experience (if applicable):	
	Equipment finance experience (if applicable):	
Business/Equipment Finance Brokers: What is your current pipeline of business and/or equipment finance transactions?	Business loan Pipeline:	
	Settlement value \$	Transaction numbers
	Equipment finance loan Pipeline:	
	Settlement value \$	Transaction numbers

Section 5: Privacy Notice, Acknowledgements and Consents

Part 1. Privacy Notice

All personal information we collect about you is collected, used and disclosed by us in accordance with the relevant privacy notice available below. Our Third Party Privacy Notice also provides information about how you can access and correct your personal information and make a complaint. You do not have to provide us with any personal information but, if you don't, we may not be able to process your application or request.

Your Consent.

By providing us with any of the sensitive information referred to in the Third Party Privacy Notice, you consent to our collection, use and disclosure of that sensitive information in accordance with the Third Party Privacy Notice.

Business	Privacy Notice
Bank of Melbourne	Bank of Melbourne Third Party Privacy Notice
BankSA	BankSA Third Party Privacy Notice
Capital Finance	Capital Finance Third Party Privacy Notice
St.George	St.George Third Party Privacy Notice
Westpac	Westpac Third Party Privacy Notice

Part 2. Applicant Acknowledgements and Privacy Consent

- I agree to be responsible for all usage of my allocated Broker Accreditation ID Number.
- I acknowledge that I am only authorised to refer or submit loan applications that I am directly involved in. Under no circumstances will I submit or refer loan applications on behalf of other referrers or brokers using my Broker Accreditation ID.
- I agree to stop using my Broker Accreditation ID immediately upon ceasing my employment/association with the above-mentioned company.
- I agree to the terms of the [Westpac Group Broker Code of Conduct \(Code\)](#) as updated from time to time and I acknowledge that complying with the Code at all times is a condition of maintaining my accreditation with the Westpac Group.
- By signing and submitting this form, you consent to our collection, use and disclosure of any sensitive information in accordance with the Third Party Privacy Notice.
- I have completed the Personal Declaration. (Section 2)
- I have attached supporting documents. (Section 3)
- Have you discussed this application with a Westpac Group BDM? ☐ Yes ☐ No

BDM name: (If applicable)

Applicant's full name

Signature

Date

Section 5: Privacy Notice, Acknowledgements and Consents (continued)

Part 3. Confirmation by the Westpac Group agreement holder (typically an Aggregator)

Representative of Aggregator or Direct Agreement Holder Signoff:

- ☐ For the purpose of accreditation transfer **only**, I, as a representative of the Aggregator, hereby attest that a reference check has been conducted in accordance with the **ASIC Corporations and Credit (Reference Checking and Information Sharing Protocol) Instrument 2024/647** on this individual. We are satisfied that no adverse behaviour, dishonesty, or unprofessional conduct has been identified. *(Alternatively, we will also accept a letter of separation from the outgoing aggregator stating no adverse circumstances were observed.)*
- ☐ I will notify the Westpac Group immediately if the above-mentioned individual is no longer operating under our agreement, so that the allocated Broker Accreditation ID may be deactivated.
(NOTE: Broker Accreditation IDs cannot be transferred between individuals).

The information below is provided as confirmation of the total level of loan settlements by lender generated by this accredited broker through this aggregation in the past 12 months.

Financial Institution	Business Loan Value \$M	Equipment Finance Loan Value \$M

Entity that has the Agreement with Westpac Group – Your company name (Full legal name)

Representative name

Position

Signature

X

Date

/ /

Part 4. Application form submission

-  Please email this completed application form and all supporting documentation to:
wbcbusinessbrokeraccreditations@westpac.com.au

Accessibility support

Visit [Westpac Access and Inclusion](#) for further information on the accessible features on our products, services and accessible collateral for people with disability, who are neurodivergent or where English is not your preferred language.

Westpac acknowledges the Traditional Owners as the custodians of this land, recognising their connection to land, waters and community. We pay our respects to Australia's First Peoples, and to their Elders, past and present.